



# STIC Search Report

## EIC 1700

STIC Database Tracking Number: 99197

TO: Kripa Sagar  
Location:  
Art Unit : 1756  
July 30, 2003

Case Serial Number: 09/978155

From: Barba Koroma  
Location: EIC 1700  
CP3/4-3D62  
Phone: 305-3542

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RECEIVED  
JAN 16 2004  
TC 1700

### Search Notes

Examiner Sagar,  
Please find attached completed search report as requested. The key text terms were searched in CAPLUS, COMPENDEX and WPIX databases via STN. Please let me know if you have any questions.  
Thanks.

=> file caplus

FILE 'CAPLUS' ENTERED AT 12:29:37 ON 30 JUL 2003

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FILE COVERS 1907 - 30 Jul 2003 VOL 139 ISS 5

FILE LAST UPDATED: 29 Jul 2003 (20030729/ED)

This file contains CAS Registry Numbers for easy and accurate substance identification.

=> file compendex

FILE 'COMPENDEX' ENTERED AT 12:29:47 ON 30 JUL 2003

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FILE LAST UPDATED: 28 JUL 2003 <20030728/UP>

FILE COVERS 1970 TO DATE.

<<< SIMULTANEOUS LEFT AND RIGHT TRUNCATION AVAILABLE IN  
THE BASIC INDEX >>>

=> file wpix

FILE 'WPIX' ENTERED AT 12:29:53 ON 30 JUL 2003

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FILE LAST UPDATED: 29 JUL 2003 <20030729/UP>

MOST RECENT DERWENT UPDATE: 200348 <200348/DW>

DERWENT WORLD PATENTS INDEX SUBSCRIBER FILE, COVERS 1963 TO DATE

>>> NEW WEEKLY SDI FREQUENCY AVAILABLE --> see NEWS <<<

>>> SLART (Simultaneous Left and Right Truncation) is now  
available in the /ABEX field. An additional search field  
/BIX is also provided which comprises both /BI and /ABEX <<<

>>> PATENT IMAGES AVAILABLE FOR PRINT AND DISPLAY <<<

>>> FOR DETAILS OF THE PATENTS COVERED IN CURRENT UPDATES,  
SEE <http://www.derwent.com/dwpi/updates/dwpicov/index.html> <<<

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[http://www.derwent.com/userguides/dwpi\\_guide.html](http://www.derwent.com/userguides/dwpi_guide.html) <<<

=> d que

L1 (	105918)	SEA FILE=CAPLUS ABB=ON	PLU=ON	(PHOTORESISTS OR ETCHING OR PHOTOLITHOGRAPHY)/IT
L2 (	23562)	SEA FILE=CAPLUS ABB=ON	PLU=ON	(PHOTORESIST OR PHOTOLITHOG? OR PATTERN PROFILE DISTORTION FREE)/ST
L3 (	459)	SEA FILE=CAPLUS ABB=ON	PLU=ON	(FIRST OR SECOND) (5A) PHOTORESIS T
L4 (	83)	SEA FILE=CAPLUS ABB=ON	PLU=ON	FIRST(5A) SECOND(5A) PHOTORESIST
L5 (	90)	SEA FILE=CAPLUS ABB=ON	PLU=ON	FIRST(5A) SECOND(6A) PHOTORESIST
L6 (	255)	SEA FILE=CAPLUS ABB=ON	PLU=ON	FIRST(5A) SECOND(L) PHOTORESIST
L7 (	6172)	SEA FILE=CAPLUS ABB=ON	PLU=ON	PATTERN? (3A) PHOTORESIST
L8 (	113567)	SEA FILE=CAPLUS ABB=ON	PLU=ON	L1 OR L2
L9 (	559)	SEA FILE=CAPLUS ABB=ON	PLU=ON	(L3 OR L4 OR L5 OR L6)
L10 (	383)	SEA FILE=CAPLUS ABB=ON	PLU=ON	L8 AND L9
L11 (	156)	SEA FILE=CAPLUS ABB=ON	PLU=ON	L10 AND L7
L12 (	66)	SEA FILE=CAPLUS ABB=ON	PLU=ON	L11 AND (PROCESS OR METHOD)/RL
L13 (	48)	SEA FILE=CAPLUS ABB=ON	PLU=ON	SUBSTRATE AND L12
L14 (	12007)	SEA FILE=CAPLUS ABB=ON	PLU=ON	(THICK? OR DEPTH) (3A) (SUBSTRATE OR ETCHING)
L15 (	1940)	SEA FILE=CAPLUS ABB=ON	PLU=ON	L14 AND L8
L16 (	4)	SEA FILE=CAPLUS ABB=ON	PLU=ON	L15 AND L9 AND L7
L17 (	52)	SEA FILE=CAPLUS ABB=ON	PLU=ON	L16 OR L13
L18 (	49)	SEA FILE=CAPLUS ABB=ON	PLU=ON	L17 AND (PROC OR METHOD)/RL
L19 (	49)	SEA FILE=CAPLUS ABB=ON	PLU=ON	L18 AND PHOTORESIST
L20 (	18)	SEA FILE=CAPLUS ABB=ON	PLU=ON	L19 AND L6
L21 (	55767)	SEA FILE=COMPENDEX ABB=ON	PLU=ON	PHOTORESIST? OR ETCH? OR PHOTOLITHOGRAPH?
L22 (	77)	SEA FILE=COMPENDEX ABB=ON	PLU=ON	(FIRST OR SECOND) (5A) (PHOTOR ESIST)
L23 (	77)	SEA FILE=COMPENDEX ABB=ON	PLU=ON	L22 AND L21
L24 (	24)	SEA FILE=COMPENDEX ABB=ON	PLU=ON	L23 AND (PATTERN OR PROFILE OR DISTORT?)
L25 (	1540)	SEA FILE=WPIX ABB=ON	PLU=ON	L23 AND (PATTERN OR PROFILE OR DISTORT?)
L26 (	1344)	SEA FILE=WPIX ABB=ON	PLU=ON	L25 AND (METHOD OR PROCESS)
L27 (	1056)	SEA FILE=WPIX ABB=ON	PLU=ON	L26 AND SUBSTRATE?
L28 (	34)	SEA FILE=WPIX ABB=ON	PLU=ON	L27 AND (THICK? OR DEPTH?) (5A) SUB STRATE

L37 13 SEA FILE=CAPLUS ABB=ON PLU=ON (FIRST OR SECOND) (5A) PHOTORESIST AND L20  
 L38 24 SEA FILE=COMPENDEX ABB=ON PLU=ON (FIRST OR SECOND) (5A) PHOTORESIST AND L24  
 L39 34 SEA FILE=WPIX ABB=ON PLU=ON (FIRST OR SECOND) (5A) PHOTORESIST AND L28  
 L40 6 SEA FILE=CAPLUS ABB=ON PLU=ON L37 AND PHOTOLITHO?  
 L41 3 SEA FILE=COMPENDEX ABB=ON PLU=ON L38 AND PHOTOLITHO?  
 L42 4 SEA FILE=WPIX ABB=ON PLU=ON L39 AND PHOTOLITHO?  
 L43 13 DUP REM L40 L41 L42 (0 DUPLICATES REMOVED)

=> d all 1-13 l43

YOU HAVE REQUESTED DATA FROM FILE 'CAPLUS, COMPENDEX, WPIX' - CONTINUE? (Y)/N:y

L43 ANSWER 1 OF 13 CAPLUS COPYRIGHT 2003 ACS on STN

AN 2002:522603 CAPLUS

DN 137:87038

TI Method of forming dielectric film and dielectric film

IN Matsuura, Masazumi

PA Mitsubishi Denki Kabushiki Kaisha, Japan

SO U.S. Pat. Appl. Publ., 19 pp.

CODEN: USXXCO

DT Patent

LA English

IC ICM H01L021-31

ICS H01L021-469; H01L021-26; H01L021-324; H01L021-42; H01L021-477

NCL 438781000

CC 76-3 (Electric Phenomena)

FAN.CNT 1

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PI	US 2002090833	A1	20020711	US 2001-963648	20010927
	JP 2002203852	A2	20020719	JP 2001-627	20010105
	US 2002182891	A1	20021205	US 2002-196181	20020717
PRAI	JP 2001-627	A	20010105		
	US 2001-963648	A3	20010927		

AB The invention relates to a process for making a semiconductor integrated circuit device, comprising a first interlayer insulating film having low dielec. const. is formed on an underlying insulating film and a second interlayer insulating film is formed on the first interlayer insulating film. Subsequently, a **photoresist** having a **pattern** with openings above regions in which copper wirings are to be formed is formed on the second interlayer insulating film. Using the **photoresist** as an etching mask, the **second** interlayer insulating film and the **first** interlayer insulating film are etched, to form a recess. Next, an ashing process using oxygen gas plasma is performed, to remove the **photoresist**. This ashing process is performed under a plasma forming condition that the RF power is 300 W, the chamber pressure is 30 Pa, the oxygen flow is 100 sccm and the

**substrate** temp. is 25 .degree. C. That provides a method of forming a dielec. film and a structure thereof, which allows suppression of a rise in dielec. const. of an interlayer insulating film, which is caused by a change of Si-CnH<sub>2n+1</sub> bond into Si-OH bond in the film.

ST PECVD photolithog interlayer dielec LSI

IT Integrated circuits

Interconnections, electric

Photolithography

Photoresists

(photolithog. patterning of interlayer dielec. for semiconductor integrated circuit device)

IT Ashing

Etching

Vapor deposition process

(plasma; photolithog. patterning of interlayer dielec. for semiconductor integrated circuit device)

IT 7440-21-3, Silicon, processes 7440-50-8, Copper, processes 7631-86-9,

Silica, processes 11116-16-8, Titanium nitride

RL: DEV (Device component use); EPR (Engineering process); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses)

(photolithog. patterning of interlayer dielec. for semiconductor integrated circuit device)

L43 ANSWER 2 OF 13 CAPLUS COPYRIGHT 2003 ACS on STN

AN 2002:143176 CAPLUS

DN 136:175586

TI Liquid crystal display device and method of fabricating the same

IN Kim, Jong-woo; Soh, Jae-moon; Ha, Young-hun

PA S. Korea

SO U.S. Pat. Appl. Publ., 20 pp.

CODEN: USXXCO

DT Patent

LA English

IC ICM G02F001-13

NCL 349187000

CC 74-13 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

FAN.CNT 1

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
	-----	---	-----	-----	-----
PI	US 2002021403	A1	20020221	US 2001-885527	20010621
PRAI	KR 2000-34298	A	20000621		

AB A high yield manufg. method of fabricating an active matrix liq. crystal display is described. The method includes steps of forming a first metal layer on the **substrate** to form a gate line including a gate electrode, a gate pad, and a first capacitor electrode, forming an insulating layer, an active layer, and a second metal layer on the **substrate**, patterning the second metal layer to form a data line including a data pad, a source electrode, a drain electrode, and a second capacitor electrode, forming a passivation layer to cover the **second** metal layer, forming a **photoresist** on the passivation layer, exposing the **photoresist** using a mask having

a light shielding portion, a light transmissive portion, and a semi-transmissive portion, forming a **first photoresist** portion, a **second photoresist** portion, and a third **photoresist** portion, **patterning** the passivation layer, the active layer, and the insulating layer, and forming a pixel electrode on the passivation layer.

ST active matrix liq crystal display high manufg yield

IT Liquid crystal displays

(active matrix; high yield manufg. method for active matrix liq. crystal displays)

IT Photolithography

Photoresists

(high yield manufg. method for active matrix liq. crystal displays)

IT Acrylic polymers, processes

RL: DEV (Device component use); EPR (Engineering process); PEP (Physical, engineering or chemical process); **PROC (Process)**; **USES (Uses)**

(insulating material; high yield manufg. method for active matrix liq. crystal displays)

IT 58874-62-7

RL: DEV (Device component use); EPR (Engineering process); PEP (Physical, engineering or chemical process); **PROC (Process)**; **USES (Uses)**

(first metal layer; high yield manufg. method for active matrix liq. crystal displays)

IT 7440-47-3, Chromium, processes 12058-19-4, Molybdenum silicide(MoSi)

RL: DEV (Device component use); EPR (Engineering process); PEP (Physical, engineering or chemical process); **PROC (Process)**; **USES (Uses)**

(half-tone mask; high yield manufg. method for active matrix liq. crystal displays)

IT 7439-98-7, Molybdenum, processes

RL: DEV (Device component use); EPR (Engineering process); PEP (Physical, engineering or chemical process); **PROC (Process)**; **USES (Uses)**

(high yield manufg. method for active matrix liq. crystal displays)

IT 7631-86-9, Silica, processes 12033-89-5, Silicon nitride, processes 124221-30-3, BCB

RL: DEV (Device component use); EPR (Engineering process); PEP (Physical, engineering or chemical process); **PROC (Process)**; **USES (Uses)**

(insulating material; high yield manufg. method for active matrix liq. crystal displays)

L43 ANSWER 3 OF 13 CAPLUS COPYRIGHT 2003 ACS on STN

AN 2002:655057 CAPLUS

DN 137:193842

TI Method of forming dual damascene structure

IN Liu, Chih-chien; Huang, Jui-tsen; Cheng, Yi-fang; Yang, Ming-sheng

PA United Microelectronics Corp., Taiwan

SO U.S., 9 pp.

CODEN: USXXAM

DT Patent

LA English

IC ICM H01L021-302

NCL 438706000

CC 76-3 (Electric Phenomena)

FAN.CNT 1

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PI	US 6440861	B1	20020827	US 2000-652471	20000831
PRAI	TW 2000-89116603	A	20000817		

AB A method of forming a dual damascene structure. A **first** dielec. layer and a **second** dielec. layer are sequentially formed over a **substrate**. A **first photoresist** layer is formed over the **second** dielec. layer. **Photolithog.** and etching operations are conducted to remove a portion of the **second** dielec. layer and the **first** dielec. layer so that a via opening is formed. A conformal third dielec. layer is coated over the surface of the second dielec. layer and the interior surface of the via opening. The conformal third dielec. layer forms a liner dielec. layer. A **second photoresist** layer is formed over the **second** dielec. layer and then the **second photoresist** layer is **patterned**. Using the **patterned second photoresist** layer as a mask, a portion of the second dielec. layer is removed to form a trench. The **patterned second photoresist** layer is removed. Conductive material is deposited over the **substrate** to fill the via opening and the trench. Finally, chem.-mech. polishing is conducted to remove excess conductive material above the second dielec. layer.

ST dual damascene semiconductor integrated circuit

IT Vapor deposition process  
(chem.; fabrication of dual damascene structure semiconductor integrated circuit)

IT **Etching**  
Integrated circuits  
Interconnections, electric  
**Photolithography**  
**Photoresists**  
(fabrication of dual damascene structure semiconductor integrated circuit)

IT 7440-21-3, Silicon, processes 7631-86-9, Silica, processes  
203945-07-7, SiLK  
RL: DEV (Device component use); EPR (Engineering process); PEP (Physical, engineering or chemical process); **PROC (Process)**; USES (Uses)  
(fabrication of dual damascene structure semiconductor integrated circuit)

RE.CNT 5 THERE ARE 5 CITED REFERENCES AVAILABLE FOR THIS RECORD  
RE

- (1) Andricacos; US 6268291 B1 2001 CAPLUS
- (2) Anon; JP 2000208745 A 2000 CAPLUS
- (3) Givens; US 6271593 B1 2001
- (4) Jang; US 6268294 B1 2001 CAPLUS
- (5) Li; US 6040243 A 2000 CAPLUS

L43 ANSWER 4 OF 13 WPIX COPYRIGHT 2003 THOMSON DERWENT on STN

AN 2002-403374 [43] WPIX

DNN N2002-316484 DNC C2002-113383

TI Manufacture of phase shifting mask by forming phase shifter layer and

opaque shield layer on **substrate**, defining the layers, performing **etching** procedure, and performing cleaning procedure to **tch** portion of phase shifter surface.

DC L03 U11  
IN CHANG, C  
PA (CHAN-I) CHANG C

CYC 1

PI US 2002030034 A1 20020314 (200243)\* 8p B29D011-00

ADT US 2002030034 A1 US 2000-726459 20001130

PRAI TW 2000-118676 20000913

IC ICM B29D011-00

ICS C03C015-00; C03C025-68; C23F001-00; C23F003-00; C25F003-00

AB US2002030034 A UPAB: 20020709

NOVELTY - Phase shifting mask is manufactured by forming sequentially a phase shifter layer (202) and an opaque shield layer (204) on a **substrate** (200); defining the layers; performing an **etching** procedure, **etching** the **substrate** and exposing its portion, and **etching** the **substrate** to a predetermined **depth** (210); and performing a cleaning procedure that **etches** a portion of the phase shifter surface.

DETAILED DESCRIPTION - Manufacture of phase shifting mask comprises forming sequentially a phase shifter layer and an opaque shield layer on a **substrate**; defining the layers, respectively; performing an **etching** procedure, **etching** the **substrate** and exposing its portion, and **etching** the **substrate** to a predetermined **depth**; and performing a cleaning procedure that **etches** a portion of the phase shifter surface, where the predetermined depth is based on an **etched** thickness (212) of the phase shifter layer.

USE - The **method** is used to manufacture a phase shifting mask that is used to raise the resolution of **pattern** transfer during a **photolithographic** procedure.

ADVANTAGE - The phase difference between the **substrate** and the phase shifter layer stays the same before and after washing or repair **process**.

DESCRIPTION OF DRAWING(S) - The figure is a cross-sectional view that illustrates a **method** of manufacturing a phase shift mask.

**Substrate** 200

Phase shifter layer 202

Opaque shield layer 204

Predetermined depth 210

**Etched** thickness 212

Dwg. 2E/2

FS CPI EPI

FA AB; GI

MC CPI: L04-C06A

EPI: U11-C04E2

L43 ANSWER 5 OF 13 WPIX COPYRIGHT 2003 THOMSON DERWENT on STN

AN 2002-413477 [44] WPIX

DNN N2002-324942 DNC C2002-116829

TI Manufacture of semiconductor device involves **etching**



**second** dielectric layer using developed **photoresist** and **etching first** dielectric layer and **etch-stop** layer using the second dielectric layer.

DC L03 U11 U14

IN PLAT, M V; SHEN, L; SUBRAMANIAN, R; YANG, W

PA (ADMI) ADVANCED MICRO DEVICES INC

CYC 1

PI US 6365509 B1 20020402 (200244)\* 13p H01L021-4763

ADT US 6365509 B1 US 2000-586556 20000531

PRAI US 2000-586556 20000531

IC ICM H01L021-4763

ICS H01L021-302; H01L021-311; H01L021-461

AB US 6365509 B UPAB: 20020711

NOVELTY - A semiconductor device is manufactured by depositing sequentially an **etch-stop** layer, first and **second** dielectric layers and a **photoresist** on a **substrate**.

The **photoresist** is patterned, processed and developed. The second dielectric layer is **etched** using the developed **photoresist**. The **first** dielectric layer and **etch-stop** layer are **etched** using the second dielectric layer.

DETAILED DESCRIPTION - Manufacture of a semiconductor device involves depositing sequentially an **etch-stop** layer (418), a first dielectric layer, a **second** dielectric layer and a **photoresist** (424) on a semiconductor **substrate** (402).

The **photoresist** has a **thickness** of less than twice the thickness of the of the second dielectric layer. It is patterned, **photolithographically** processed and developed. The second dielectric layer is **etched** using the developed **photoresist**. The **first** dielectric layer is **etched** using the **second** dielectric layer so that the **photoresist** and the **first** dielectric layer are **etched** with a portion of the second dielectric layer. The **etch-stop** layer is **etched** using the second dielectric layer so that the remaining layer of the second dielectric layer and the **etch-stop** layer are **etched**.

USE - For manufacturing a semiconductor device, e.g. flash electrically erasable programmable read only memories.

ADVANTAGE - The inventive **method** minimizes variation in the **etching process** by using the silicon oxynitride as a bottom anti-reflective layer (422) and hard mask in conjunction with a thin **photoresist** layer. The thin **photoresist** also allows for less variation in the **photolithographic process**.

DESCRIPTION OF DRAWING(S) - The figure illustrates the sequence of **process** steps of a **process** for forming an interconnect structure and its connected contact on semiconductor **substrate**.

**Substrate** 402

**Etch-stop** layer 418

Bottom anti-reflective layer 422

**Photoresist** 424

Dwg. 4/4

FS CPI EPI

FA AB; GI  
 MC CPI: L03-G04A; L04-C06B; L04-C07; L04-C12  
 EPI: U11-C18B5; U14-A03B7

L43 ANSWER 6 OF 13 CAPLUS COPYRIGHT 2003 ACS on STN  
 AN 2001:817079 CAPLUS  
 DN 135:350566  
 TI Use of rapid thermal annealing furnace for **photoresist** baking  
 IN Subramanian, Ramkumar; Rangarajan, Bharath; Templeton, Michael K.; Singh, Bhanwar  
 PA Advanced Micro Devices, Inc., USA  
 SO PCT Int. Appl., 16 pp.  
 CODEN: PIXXD2  
 DT Patent  
 LA English  
 IC ICM G03F007-38  
 CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

FAN.CNT 1

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PI	WO 2001084243	A1	20011108	WO 2001-US10925	20010403
	W:				
	AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM				
	RW:				
	GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG				
	US 6335152	B1	20020101	US 2000-564408	20000501
	EP 1282839	A1	20030212	EP 2001-924657	20010403
	R:				
	AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR				
	TW 480575	B	20020321	TW 2001-90110316	20010430
PRAI	US 2000-564408	A	20000501		
	WO 2001-US10925	W	20010403		

AB In one embodiment, the present invention relates to a method of processing an irradiated **photoresist** involving the steps of placing a **substrate** having the irradiated **photoresist** thereon (1) at a **first** temp. in a rapid thermal anneal furnace; heating the **substrate** (1) to a second temp. within about 0.1 s to about 10 s; cooling the **substrate** (1) to a third temp. in a rapid thermal annealing furnace (21) within about 0.1 s to about 10 s; and developing the irradiated **photoresist**, wherein the **second** temp. is higher than the **first** temp. and the third temp. In another embodiment, the present invention relates to a system of processing a **photoresist**, contg. a source of actinic radiation and a mask of selectively irradiating a **photoresist**; a rapid thermal annealing furnace for rapidly heating and rapidly cooling a selectively irradiated **photoresist**, wherein the rapid heating and rapid cooling are

independently conducted within about 0.1 s to about 10 s; and a developer for developing a rapid thermal annealing furnace heated and selectively irradiated **photoresist** into a **patterned photoresist**. The object of the present invention is to improve crit. dimension control of **photoresist** processing.

ST **photoresist** baking rapid thermal annealing furnace

IT Electric furnaces

(heat-treatment; use of rapid thermal annealing furnace for **photoresist** baking)

IT **Photolithography**

Rapid thermal annealing

(use of rapid thermal annealing furnace for **photoresist** baking)

IT 7782-42-5, Graphite, processes

RL: PEP (Physical, engineering or chemical process); TEM (Technical or engineered material use); PROC (Process); USES (Uses)

(use of rapid thermal annealing furnace for **photoresist** baking)

RE.CNT 10 THERE ARE 10 CITED REFERENCES AVAILABLE FOR THIS RECORD

RE

(1) Anon; PATENT ABSTRACTS OF JAPAN 1983, V007(078), PP-188

(2) Anon; PATENT ABSTRACTS OF JAPAN 1986, V010(016), PE-375

(3) Fujitsu Ltd; EP 0103052 A 1984

(4) Matsuoaka, Y; US 4946764 A 1990

(5) Nippon Denshin Denwa Kosha; JP 58009141 A 1983 CAPLUS

(6) Okamura, K; US 5849602 A 1998 CAPLUS

(7) Shigemitsu, F; US 4840874 A 1989 CAPLUS

(8) Toshiba Kk; JP 60178626 A 1985 CAPLUS

(9) Toshiba Kk; JP 61080247 A 1986 CAPLUS

(10) Wieland, R; US 5302495 A 1994 CAPLUS

L43 ANSWER 7 OF 13 WPIX COPYRIGHT 2003 THOMSON DERWENT on STN

AN 2001-202077 [20] WPIX

DNN N2001-144100 DNC C2001-059947

TI Production of monolithic optical microbench for coupling of light between optical devices by forming curved reflective mirror and groove by **etching**, cleaning the surfaces and metallizing the entire **substrate** wafer.

DC L03 P81 U11 U12 U13 V07

IN ANDERSON, E R; REZEK, E A; STRIJEK, R L; TRAN, D

PA (THOP) TRW INC

CYC 2

PI US 6187515 B1 20010213 (200120)\* 13p G02B006-36

JP 11344632 A 19991214 (200120) 10p G02B006-122

JP 3143450 B2 20010307 (200122) 9p G02B006-122

ADT US 6187515 B1 US 1998-74188 19980507; JP 11344632 A JP 1999-127712

19990507; JP 3143450 B2 JP 1999-127712 19990507

FDT JP 3143450 B2 Previous Publ. JP 11344632

PRAI US 1998-74188 19980507

IC ICM G02B006-122; G02B006-36

ICS G02B006-42; H01L033-00; H01S003-18

AB US 6187515 B UPAB: 20010410

**NOVELTY** - A monolithic optical microbench is made by selectively aligning first and second masks to respective first and second surfaces of a **substrate** wafer; exposing the surfaces coated with **first** and **second** layers of **photoresist** material to a light source; forming a curved reflective mirror and a groove by **etching**; cleaning the surfaces; and metallizing the entire **substrate** wafer.

**DETAILED DESCRIPTION** - Production of a monolithic optical microbench for the coupling of light between optical devices includes providing a III-V semiconductor **substrate** wafer having first and second opposing surfaces, and first and second crystal planes. The entire first surface of the **substrate** wafer is lapped and polished.

**First** and **second** layers of **photoresist** material are coated over the entire first and second opposing surfaces of the **substrate** wafer, respectively. The **first** and **second** layers of **photoresist** material is baked at 150 deg. C. First and second masks are provided for the first and second opposing surfaces, respectively. They are selectively aligned to the first and second opposing surfaces of the **substrate** wafer, respectively. The first and second opposing surfaces coated with the **first** and **second** layers of **photoresist** material are exposed to a light source to form **first** and **second photoresist** masks, respectively. The **first** and **second** opposing surfaces are developed. The first opposing surface is **etched** at 60-65 deg. C to form a curved reflective mirror. The second opposing surface is **etched** to form a groove (82) intersecting a plane of the curved reflective mirror (66). The **first** and **second photoresist** masks are removed and the **first** and second opposing surfaces are cleaned. The entire **substrate** wafer (48) is metallized.

**USE** - For producing monolithic optical microbench for the coupling of light between optical devices.

**ADVANTAGE** - The microbench can be made of the same semiconductor material of the device, thus there is a better thermal expansion match between the microbench and the micro-optical devices. The invention provides redirection and focusing of optical energy using one optical component, lower loss and spherical aberrations using front surface reflectors compared to refractive lenses, compact construction and reduced package **profile**, very accurate alignment of reflector to optical devices, decrease in the time required for alignment of the reflector to the micro-optical device by passive alignment, and expandability to integrate reflectors into one structure. It provides for more efficient packaging of optical electronics systems. Finally, very precise fabrication is possible by using standard **photolithography processes** and wafer level fabrication can result in high volume manufacturing and high reproducibility. The monolithic integration allows for more reliable and accurate alignment between optical devices.

**DESCRIPTION OF DRAWING(S)** - The figure shows a **substrate** wafer metallization **process**.

Entire **substrate** wafer 48

Reflective mirror 66

Groove 82

Titanium layer 86

Platinum layer 88

Gold layer 90

Evaporating 92

Dwg.5m/7

FS CPI EPI GMPI

FA AB; GI

MC CPI: L03-G02

EPI: U11-F02B; U12-A01C; U13-D04A; V07-F01A5; V07-G10C; V07-G10D

L43 ANSWER 8 OF 13 COMPENDEX COPYRIGHT 2003 EEI on STN

AN 2000(40):7006 COMPENDEX

TI Nanopatterning of organic and inorganic materials by holographic lithography and plasma **etching**.

AU Visconti, P. (Universita di Lecce, Lecce, Italy); Turco, C.; Rinaldi, R.; Cingolani, R.

MT 25th International Conference on Micro- and Nano-Engineering.

ML Rome, Italy

MD 21 Sep 1999-23 Sep 1999

SO Microelectronic Engineering v 53 n 1 Jun 2000.p 391-394

CODEN: MIENEF ISSN: 0167-9317

PY 2000

MN 57178

DT Journal

TC Application; Experimental

LA English

AB We report on the nanopatterning of semiconductors and conjugated polymers to produce photonic band gap structures. Poly(p-phenylenevinylene) (PPV) thin films prepared by spin coating on ITO-coated glass, GaAs and Si substrates were successfully processed. The technological process for the patterning was based on U.V.holographic lithography (364 nm line of Ar plus Laser) and plasma **etching**. **First a photoresist layer** was deposited on the sample surface and exposed to an interference **pattern** in a 'corner cube' interferometer, with multiple exposure capability, in order to realize **pattern** of lines, squares, pillars and holes. The shape of the pillars and holes, produced by double exposure, can be changed by rotating the sample by angles in the range between 30 and 90 degrees. Each step in the mask production procedure was carefully controlled by AFM measurements. Then the **photoresist patterns** were transferred to the substrate materials by means of a plasma **etching** in a parallel plate reactor. In the case of PPV, a long optimization of the Argon plasma parameters was done in order to balance the **photoresist** versus PPV **etching** rate and to prevent over-**etching** of the PPV. This problem is inherent to the organic nature and similar chemical characteristics of the two materials and it makes the mask transfer process in the PPV very critical. The resulting patterned region consisted of 200nm wide parallel lines with a periodicity of 400nm in the case of single exposure, whereas ordered two dimensional arrays of pillars (250nm in diameter) were produced with a double exposure of the **photoresist** mask. A CH<sub>4</sub>/H<sub>2</sub>/Ar and CF<sub>4</sub> based reactive ion **etching** was used to transfer the 2D holographic

**photoresist patterns** respectively to the substrates of GaAs and Si, in order to realize two-dimensional matrices of pillars and holes for photonic band-gap applications and optical devices based on matrices of dots or anti-dots. (Author abstract) 7 Refs.

CC 714.2 Semiconductor Devices and Integrated Circuits; 712.1 Semiconducting Materials; 815.1.1 Organic Polymers; 743.1.1 Optical Holography; 932.3 Plasma Physics; 712.1.2 Compound Semiconducting Materials  
 CT **\*Photoresists**; Masks; **Photolithography**; Holography; Plasma **etching**; Thin films; Semiconducting gallium arsenide; Semiconducting silicon; Semiconductor materials; Organic polymers  
 ST Holographic lithography; Polyphenylenevinylene thin films; **Photoresists patterns**; Photonic band gap structures  
 ET As\*Ga; As sy 2; sy 2; Ga sy 2; GaAs; Ga cp; cp; As cp; Si; Ar; C\*H; CH4; C cp; H cp; C\*F; CF4; F cp; D

L43 ANSWER 9 OF 13 CAPLUS COPYRIGHT 2003 ACS on STN

AN 2000:496407 CAPLUS

DN 133:82830

TI Fabrication of semiconductor device from aluminum

IN Na, Kwan-Koo

PA LG Semiconductor Co., Ltd., S. Korea

SO Repub. Korea, No pp. given

CODEN: KRXXFC

DT Patent

LA Korean

IC ICM H01L021-302

CC 76-3 (Electric Phenomena)

FAN.CNT 1

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PI	KR 9613140	B1	19960930	KR 1988-3382	19880328
PRAI	KR 1988-3382		19880328		

AB The method of fabricating semiconductor device comprises the steps of: forming an oxide film on a semiconductor **substrate** and a first Al layer pattern on the oxide film; forming a PIQ layer on the oxide film and on the **first Al pattern** and a **photoresist** layer on the PIQ layer; forming a **photoresist pattern**; forming a mask metal layer on the **photoresist pattern** and forming a mask metal layer **pattern** by removing the **photoresist pattern**; exposing the **first Al** pattern by etching the PIQ layer; and forming a **second Al** layer connecting the **first Al** layer pattern.

ST semiconductor device manuf aluminum

IT Semiconductor device fabrication

Semiconductor devices

(fabrication of semiconductor device from aluminum)

IT Metals, processes

RL: DEV (Device component use); PEP (Physical, engineering or chemical process); **PROC (Process)**; USES (Uses)

(film; fabrication of semiconductor device from)

IT Oxides (inorganic), uses

RL: DEV (Device component use); SPN (Synthetic preparation); PREP

(Preparation); USES (Uses)  
(films; fabrication of semiconductor device from)

IT Etching

Etching masks

Photolithography

(in fabrication of semiconductor device from aluminum)

IT Semiconductor materials

(**substrate**; in fabrication of semiconductor device from aluminum)

IT 7429-90-5, Aluminum, processes

RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PROC (**Process**); USES (Uses)

(fabrication of semiconductor device from)

L43 ANSWER 10 OF 13 CAPLUS COPYRIGHT 2003 ACS on STN

AN 2000:473005 CAPLUS

DN 133:67276

TI Method for manufacturing a storage node of semiconductor memory device

IN Seo, Jae-bum

PA Lg Semiconductor Co., Ltd., S. Korea

SO Repub. Korea, No pp. given

CODEN: KRXXFC

DT Patent

LA Korean

IC ICM H01L027-108

ICS H01L027-10

CC 76-3 (Electric Phenomena)

FAN.CNT 1

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PI	KR 9605568	B1	19960426	KR 1992-21881	19921120
PRAI	KR 1992-21881		19921120		

AB The method includes the steps of : forming a first oxide layer and nitride layer, in series, on a semiconductor **substrate**, a storage node contact through **photolithog.**, and forming a **first** polysilicon layer and **second** oxide layer, in series, on the **substrate** and forming a **photoresist pattern** thereon, wet-etching the **second** oxide layer by using the **photoresist pattern** as a mask to form oxide protrusion narrower than the **photoresist pattern** width around the storage node contact; forming a polysilicon sidewall on the sides of the protrusion, etching the **second** oxide layer and **first** polysilicon layer by using the polysilicon sidewall as a mask to form a cylinder of the second oxide layer; removing the portion of the second oxide layer placed between the cylinders; and forming a polysilicon sidewall on the side of the cylinder structure, thereby forming a storage node in the shape of double cylinder.

ST storage node semiconductor memory device fabrication

IT Etching

Etching masks

Photolithography

(in method for manufg. a storage node of semiconductor memory device)

IT Semiconductor device fabrication  
Semiconductor memory devices  
(method for manufg. a storage node of semiconductor memory device)

IT 7631-86-9, Silica, processes 12033-89-5, Silicon nitride, processes  
RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses)  
(in method for manufg. a storage node of semiconductor memory device)

IT 7440-21-3, Silicon, processes  
RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses)  
(poly; in method for manufg. a storage node of semiconductor memory device)

L43 ANSWER 11 OF 13 COMPENDEX COPYRIGHT 2003 EEI on STN  
AN 1996(40):65 COMPENDEX  
TI Binary gratings for CO2 laser-beam diagnostics.  
AU Hembd-Sollner, Ch. (Inst fuer Technische Optik, Stuttgart, Ger); Budzinski, Ch.; Tiziani, H.  
SO Applied Optics v 35 n 19 Jul 1 1996.p 3662-3670  
CODEN: APOPAI ISSN: 0003-6935  
PY 1996  
DT Journal  
TC Theoretical; Experimental  
LA English  
AB Presented are the results concerning binary gratings for CO2 lasers. The layout proposed by Loewen et al.(1976) has for the first time to the authors' knowledge been realized by galvanic technology. This method, which preserves polarization of the diagnostic beam, is extended to focusing gratings. The diffractive structures were fabricated in **photoresist** in a first step. Because high-power densities damage occurs in resist, the structures were transferred in a second step by electroforming into upper substrates. Numerical calculations are carried out by a modal theory. The appearance of Rayleigh anomalies is demonstrated. 24 Refs.

CC 741.3 Optical Devices and Systems; 744.2 Gas Lasers; 744.8 Laser Beam Interactions; 921.6 Numerical Methods; 544.1 Copper; 745.1 Printing

CT \*Diffraction gratings; **Photolithography**; Modal analysis; Light absorption; Boundary conditions; Diffraction; Calculations; Copper; Carbon dioxide lasers; Laser beams

ST Binary gratings; Laser beam diagnostics; Modal theory; Elliptical beam **distortion**; Rayleigh anomalies; Impedance boundary conditions

ET C\*O; CO2; C cp; cp; O cp

L43 ANSWER 12 OF 13 COMPENDEX COPYRIGHT 2003 EEI on STN  
AN 1997(37):4658 COMPENDEX  
TI Scatterometry for 0.24-0.70 um developed **photoresist** metrology.  
AU Murnane, Michael R. (Univ.of New Mexico, Albuquerque, NM, USA); Raymond, Christopher J.; Prins, Steven L.; Naqvi, S.Sohail H.; McNeil, John R.  
MT Integrated Circuit Metrology, Inspection, and Process Control IX.  
MO SPIE - Int Soc for Opt Engineering, Bellingham, WA USA  
ML Santa Clara, CA, USA  
MD 20 Feb 1995-22 Feb 1995



SO Proceedings of SPIE - The International Society for Optical Engineering v  
2439 1995.Society of Photo-Optical Instrumentation Engineers, Bellingham,  
WA, USA.p 427-436  
CODEN: PSISDG ISSN: 0277-786X  
ISBN: 0-8194-1787-4

PY 1995  
MN 22267  
DT Conference Article  
TC Application; Theoretical; Experimental  
LA English  
AB Scatterometry, the characterization of periodic structures via diffracted  
light analysis, is shown to be a viable and versatile metrology for  
critical dimensions as small as 0.24  $\mu\text{m}$ . Scatterometry is rapid,  
nondestructive, inexpensive, and potentially useful for on- line control  
during several microlithographic processing steps.This paper discusses two  
recent studies in which scatterometry was applied to the measurement of  
developed photoresist patterns.First,  
scatterometric measurements of developed resist lines in the 0.38  $\mu\text{m}$  to  
0.70  $\mu\text{m}$  range will be presented.Results from four sample wafers are  
shown to be consistent with SEM measurements.For one wafer, the average  
deviation of scatterometry linewidth measurements from top-down SEM  
measurements, over a broad exposure range, is 14.5 nm.Moreover, our  
scatterometer is shown to be highly linear with the SEM; linearity  
coefficients have typically been above 0.99.The goal of our second project  
has been to determine whether scatterometry measurements are affected by  
variations in the integrated circuit production process.A set of  
twenty-five wafers was fabricated with deliberate variations in the  
exposure dose and the underlying film thicknesses.We are presently  
investigating the effects of the film thicknesses on the measurements of  
critical dimensions (CDs) as small as 0.24  $\mu\text{m}$ .Preliminary results  
indicate that CDs and multiple thin films can be simultaneously measured  
by applying multi-parameter prediction algorithms to the scattered light  
data. Results from four different prediction algorithms are given.  
Finally, the repeatability of the scatterometer is shown to be excellent:  
0.5 nm for consecutive measurements and 0.8 nm for day-to-day  
measurements.The results of an extensive repeatability/precision  
experiment are presented.14 Refs.

CC 745 Printing and Reprography; 817.1 Plastics Products; 731.3 Specific  
Variables Control; 741.1 Light. Optics; 941.3 Optical Instruments; 941.4  
Optical Variables Measurements

CT \*Photolithography; Process control; Photoresists;  
Signal filtering and prediction; Light scattering; Measurements

ST Photoresist metrology; Scatterometric linewidth measurement;  
Critical dimension measurement; Multi-parameter prediction algorithm

L43 ANSWER 13 OF 13 WPIX COPYRIGHT 2003 THOMSON DERWENT on STN  
AN 1990-157331 [21] WPIX  
DNN N1990-122302 DNC C1990-068460  
TI Sub-micron structured mask prodn. - by combination of sidewall technology  
and photolithography.  
DC A85 L03 P84 U11  
IN KOBLINGER, O; MEISSNER, K; MUHL, R; TRUMPP, H J; ZAPKA, W

PA (IBM) IBM CORP

CYC 5

PI EP 369053 A 19900523 (199021)\*

R: DE FR GB

JP 02251849 A 19901009 (199046)

US 5055383 A 19911008 (199143)

EP 369053 B1 19940302 (199409) DE 16p H01L021-00

R: DE FR GB

DE 3888184 G 19940407 (199415) H01L021-00

JP 08027533 B2 19960321 (199616) 7p G03F001-08

ADT EP 369053 A EP 1988-119094 19881117; JP 02251849 A JP 1989-297815

19891117; US 5055383 A US 1989-420870 19890812; EP 369053 B1 EP

1988-119094 19881117; DE 3888184 G DE 1988-3888184 19881117, EP

1988-119094 19881117; JP 08027533 B2 JP 1989-297815 19891117

FDT DE 3888184 G Based on EP 369053; JP 08027533 B2 Based on JP 02251849

PRAI EP 1988-119094 19881117

REP EP 10624; EP 111086; EP 223032; EP 280587; US 4331708; US 4354896; US 4648937

IC G03F001-08; H01L021-76

ICM G03F001-08; H01L021-00

ICS H01L021-027; H01L021-76

AB EP 369053 A UPAB: 19930928

Masks with submicron-size structures are produced by (a) applying a first insulating layer (sequence) (2) onto a silicon **substrate** (1);

(b) applying a **photoresist** or polymer-layer (3) and removing parts of the layer to form structure (7) with horizontal and vertical surfaces; (c) applying a second insulating layer onto the horizontal and vertical surfaces and the exposed regions of the first insulating layer (2); (d) planarising the structure by applying a **photoresist** or

polymer material and back-**etching** until the start of the vertical flanks of the sidewall covering the second insulating layer on the structures (7) is exposed; (e) **photolithographically**

producing a trimming mask, covering desired regions of the second insulating layer; (f) removing the exposed regions of the second insulating layer by isotropic **etching**; (g) transferring the

dimensions (A-B) of the resulting openings, defined by the vertical surfaces of the **photoresist** or polymer structure, to the first insulating layer (2) by anisotropic **etching**; (h)

**etching** away the trimming mask, the planarised resist or polymer material, the resist or polymer structure (7) and the remainder of the second insulating layer; (i) depositing a further **photoresist** layer on the first insulating layer (2) and

**photolithographically** processing to produce a **pattern** of openings for line widths greater than 0.5 microns; (j) transferring this **pattern** onto the first insulating layer by anisotropic **etching**; (k) producing trenches of desired **depth** in the silicon **substrate** (1) by anisotropic **etching** using the first insulating layer as mask; and (l) removing the first insulating layer (2) by wet **etching**.

2/9

FS CPI EPI GMPI

FA AB; GI

MC CPI: A11-B05; A11-C04D; A11-C04E; A12-L02B2; L04-C06A  
EPI: U11-C04A4